

**SWORN AFFIDAVIT – B-BBEE QUALIFYING SMALL ENTERPRISE – SPECIALISED ENTITY - GENERAL - which include (Not Limited to) Non-Profit Organisations, Non-Profit Companies, Public Benefit Organisations etc.**

I, the undersigned,

|                                |                      |
|--------------------------------|----------------------|
| <b>Full name &amp; Surname</b> | Gedeon Josua Rossouw |
| <b>Identity number</b>         | 581216 5057 089      |

Hereby declare under oath as follows:

- The contents of this statement are to the best of my knowledge a true reflection of the facts.
- I am a Director of the following enterprise and am duly authorised to act on its behalf:


|  |   |
|--|---|
| <b>Enterprise Name:</b>                        | The Ethics Institute NPC  |
| <b>Trading Name (If Applicable):</b>           | N/A   |
| <b>Registration Number:</b>                    | 1999/020697/08  |
| <b>Vat Number (If applicable)</b>              | 4680190651  |
| <b>Enterprise Physical Address:</b>            | 189 Lunnon Road, Hillcrest Office Park, Falcon Place, Ground Floor, Hatfield, Pretoria, 0028  |
| <b>Type of Entity (NPO, PBO etc.):</b>         | Non-profit public benefit organisation  |
| <b>Nature of Business:</b>                     | Ethics-related training, assessment, certification and advisory services.   |
| <b>Definition of “Black People”</b>            | As per the Broad-Based Black Economic Empowerment Act 53 of 2003 as Amended by Act No 46 of 2013 “Black People” is a generic term which means Africans, Coloureds and Indians –<br><br>(a) who are citizens of the Republic of South Africa by birth or descent; or<br>(b) who became citizens of the Republic of South Africa by naturalisation-<br>i. before 27 April 1994; or<br>ii. on or after 27 April 1994 and who would have been entitled to acquire citizenship by naturalization prior to that date;”  |
| <b>Definition of “Black Designated Groups”</b> | “Black Designated Groups means:<br><br>(a) unemployed black people not attending and not required by law to attend an educational institution and not awaiting admission to an educational institution;<br>(b) Black people who are youth as defined in the National Youth Commission Act of 1996;<br>(c) Black people who are persons with disabilities as defined in the Code of Good Practice on employment of people with disabilities issued under the Employment Equity Act;<br>(d) Black people living in rural and under developed areas;<br>(e) Black military veterans who qualifies to be called a military veteran in terms of the Military Veterans Act 18 of 2011;” |


3. I hereby declare under Oath that:

- The Enterprise has 72,57 % Black Beneficiaries as per Amended Code Series 100 of the Amended Codes of Good Practice issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
- The Enterprise has 41,14 % Black Female Beneficiaries as per Amended Code Series 100 of the Amended Codes of Good Practice issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
- The Enterprise has 12,57 % Black Designated Group Beneficiaries as per Amended Code Series 100 of the Amended Codes of Good Practice issued under section 9 (1) of B-BBEE Act No 53 of 2003 as Amended by Act No 46 of 2013,
- Black Designated Group Beneficiary % Breakdown as per the definition stated above:
  - Black Youth % = 12,57 %
  - Black Disabled % = 0 %
  - Black Unemployed % = 0 %
  - Black People living in Rural areas % = 0 %
  - Black Military Veterans % = 0 %
- **Based on the Audited Financial Statements/ ~~Financial Statements and other information~~ available on the latest financial year-end of 31/03/2022 (DD/MM/YYYY), the annual Total Revenue/Allocated Budget/Gross Receipts was between R10,000,000.00 (Ten Million Rands) and R50,000,000.00 (Fifty Million Rands)**
- Please confirm on the table below the B-BBEE level contributor, **by ticking the applicable box.**

|                                  |  |                                     |
|----------------------------------|--|-------------------------------------|
| At Least 75% Black Beneficiaries | <b>Level One</b> (135% B-BBEE procurement recognition level) | <input type="checkbox"/>            |
| At Least 51% Black Beneficiaries | <b>Level Two</b> (125% B-BBEE procurement recognition level) | <input checked="" type="checkbox"/> |

4. I know and understand the contents of this affidavit and I have no objection to take the prescribed oath and consider the oath binding on my conscience and on the owners of the enterprise which I represent in this matter.
5. The sworn affidavit will be valid for a period of 12 months from the date signed by commissioner.

  
**COMMISSIONER OF OATHS (RSA)**  
 Corisa Walter  
**Professional Accountant (SA)**  
 Member No.: 29394  
 272 Kerkenberg Ave, Villieria  
 Pretoria, 0186

Deponent Signature:   
 Date: 17 August 2022

\_\_\_\_\_  
 Commissioner of Oaths  
 Signature & stamp  
 Date: 17/08/2022



## MARISIMO DUE DILIGENCE INVESTIGATIONS (PTY) LTD

2016/363876/07  
518 Farm Road, Die Wilgers 0041  
Email: admin@marisimo.co.za

# THE ETHICS INSTITUTE NPC

**Due Diligence Report No: SQ531/2022**

***This report confirms the information contained in the sworn affidavit attached hereto.***

***This affidavit was deposed to by Gedeon Josua Rossouw, Director.***

### 1. **CONFIRMATION OF BUSINESS PROFILE**

|                      |  |
|----------------------|--|
| Registration Nr:     | 1999/020697/08   |
| VAT Nr:              | 4680190651   |
| Head Office Address: | 189 Lunnon Road, Hillcrest Office Park, Falcon Place, Ground Floor, Hatfield, Pretoria, 0028 |

### 2. **CONFIRMATION OF B-BBEE STATUS**

|                               |         |                           |              |
|-------------------------------|---------|---------------------------|--------------|
| Government Gazette            | 36928   | Black Beneficiaries       | 72.57%       |
| Category of Enterprise        | QSE     | Black Women Beneficiaries | 41.14%       |
| Broad Based BEE Status Level  | LEVEL 2 | Empowering Supplier       | YES          |
| Procurement Recognition Level | 125%    | Designated Group Supplier | NOT ASSESSED |

### 3. **THE FOLLOWING DOCUMENTS WERE ASSESSED IN ORDER TO CONFIRM THE INFORMATION AS STATED ABOVE:**

|                                |  |
|--------------------------------|--|
| <b><u>BUSINESS PROFILE</u></b> | CIPC registration  |
|                                | Financial statements for financial period 1 April 2021 – 31 March 2022 |
|                                | VAT Registration   |
|                                | Letterhead   |
| <b><u>B-BBEE STATUS</u></b>    | Memorandum of Incorporation  |
|                                | Certified ID's of Shareholders and Directors/Members                   |
|                                | Confirmation of Black Beneficiaries                                    |
|                                | Annual Auditor's Report  |

Although the above-mentioned level of turnover/income is current, the level of turnover/income is closely related to the economic indicators and may be **more or less** in future. Consequently, this report does not serve as a guarantee that the income reflected will continue at the same levels.

This report is an **independent assessment** by a competent person of the contents of an affidavit submitted by the deponent in terms of the Codes of Good Practice on Black Economic Empowerment (Sector Codes relevant to the entity as the case may be).

**This report is only of value if accompanied by an affidavit as required by the relevant Codes of Good Practice.**

All relevant information and documents obtained by Marisimo Due Diligence will be kept for a period of 12 months.

Marisimo Due Diligence does not guarantee that this report will lead to any particular outcome or result, and do not accept any responsibility for any false documents or information presented to us.

**MA Vosloo**  
**Attorney: Supreme Court of S.A.**

**Date of issue:** 19 JULY 2022  
**Expiry date:** 18 JULY 2023  
**Period of validity:** 12 months