



Ethics Risk Assessment



The Ethics Institute conducts Ethics Risk Assessments for organisations wishing to identify their ethics risks and opportunities, in line with leading governance practice.

Why do an Ethics Risk Assessment?

An Ethics Risk Assessment empowers the governing body and management with the necessary information to establish and maintain an ethical culture in the organisation.

To enable proactive management, Ethics Risk Assessments should be conducted fairly regularly, especially in highly visible organisations exposed to public scrutiny.

What does it measure?

Ethics opportunities

Ethics opportunities are ethical behaviours, practices or beliefs that contribute to an ethical culture.

These should be protected and embedded. They can lead to positive outcomes for internal and external stakeholders.

Ethics risk

Ethics risks are ethical behaviours, practices or beliefs that could harm internal or external stakeholders, including:

Organisational practices

- Poor environmental management
- Regulatory non-compliance
- Corrupt procurement practices

Employee behaviours

- Discrimination and disrespect
- Disclosure of confidential information
- Abuse of company resources

Culture issues

- Lack of leadership commitment
- Fear of speaking out on ethical issues

Ethics risks should be actively managed to reduce or eradicate their potential negative impact.

What does it involve?

The Ethics Institute has an established assessment methodology that generates reliable and objective results.

The sampling strategy for interviews, focus groups and questionnaire distribution is determined for, and with, each organisation, depending on its stakeholder context.

Qualitative components

- Personal interviews conducted by The Ethics Institute facilitators
- Focus group interviews conducted by The Ethics Institute facilitators

Quantitative components

- A standard questionnaire instrument is tailored for each organisation, based on the outcomes of the qualitative components
- Questionnaires can be web-based or paper-based, as appropriate
- Data confidentiality is guaranteed and survey responses are anonymous
- Web-based surveys are securely hosted

What happens next?



The Ethics Institute provides a full report on the findings of the Ethics Risk Assessment, including recommendations. This information should ideally be used to inform the development of a code of ethics, an ethics strategy and an ethics management plan.



For more information visit

www.tei.org.za or call 012 342 2799 or email info@tei.org.za.

The Ethics Institute (TEI) is an independent institute producing original thought leadership and offering a range of organisational ethics-related services and products.



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